



NOVEMBER 4, 2015, CONFERENCE SCHEDULE

8:00 - 8:30 a.m. **CONTINENTAL BREAKFAST**

8:30 - 10:00 a.m. **SESSION 1: USDOJ Office of Inspector General: Investigative Philosophies, Grant Fraud, & Embezzlement**
Presenter: **Kenneth Dieffenbach, Senior Special Agent, U.S. Department of Justice Office of Inspector General**

This session, led by a senior special agent from the U.S. Department of Justice Office of the Inspector General (USDOJ OIG), will present new information, insights, and resources used in the fight against fraud and explore three primary and overlying areas of interest to fraud investigators. First, the session will survey the USDOJ OIG's operations and its fraud investigative philosophies, citing key areas of focus. Second, the session will examine the unique fraud risks related to the annual disbursement of almost \$600 billion in federal grant dollars to state and local government, universities, nonprofits, and other entities. Third, the session will explore the issue of embezzlement — the most prevailing risk impacting organizations regardless of their funding source.

10:00 - 10:15 a.m. **BREAK**

10:15 a.m. - 12:15 p.m. **SESSION 2: Investment Fraud in the Digital Age**
Presenter: **James Foley, Manager, NW3C Financial/Intelligence Training & Curriculum Development**

Investment fraud is a broad term used to describe an expansive range of crime types, perpetrated by a wide variety of criminal types. Whether that criminal is a respected securities dealer or a small-time con artist, access to victims has increased markedly due to the exponential growth of social media and the extensive use of computers for day-to-day transactions. This presentation will survey the basics of securities law and how the evolution of computer technology has stimulated investment fraud. The session will also explore how social media is ripe with potential victims, and how computerized stock trading has made "Pump and Dump" a common occurrence ... and a disaster for many investors. Finally, the presentation will examine recent research explaining why people become victims of investment fraud, as well as how technology is aiding investigators of investment fraud.

12:15 - 1:15 p.m. **LUNCH (on your own: on-site cafeteria available)**

1:15 - 2:45 p.m. **SESSION 3: Cybersecurity, Scams, & the Internet**
Presenter: **Jeffrey Greene, Symantec Corporation**

Last year, several headline-grabbing security stories dominated the news: the Heartbleed bug compromising millions of websites; the use of 'Regin' stealthy malware in cyberespionage; and the attack of JPMorgan Chase resulting in one of the largest data breaches in history. Beneath the headlines, there were big trends at work; notably, the increasing shift of use from conventional PCs to phones and tablets. In tandem, old threats continue to remain – spam, phishing, etc. With the advent of new technology continuing to provide opportunities for cybercriminals and an underground black market supplying malware, these cyber-threats demand a sophisticated, preemptive response. This presentation will examine and analyze several case studies, as well as various strategies to mitigate these growing threats.

2:45 - 3:00 p.m. **BREAK w/ REFRESHMENTS**

3:00 - 4:30 p.m. **SESSION 4 Ohio Ethics Law: The Investigator's Toolbox**
Presenters: **Julie Korte, Chief Investigative Attorney, Ohio Ethics Commission**
 Susan Willeke, Education & Communications Manager, Ohio Ethics Commission

This session will survey current legal interpretations and restrictions related to Ohio Ethics Law and how this law affects public servants and government agencies. Representatives from the Ohio Ethics Commission (OEC) will present information on conflicts of interest, public contracts, and post-employment prohibitions. The session will also focus on those provisions of the statute that must be understood when conducting investigations that may include probable violations of the Ohio Ethics Law.

