



**Public Utilities
Commission**

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Andre T. Porter, Chairman

OFFICE OF
INSPECTOR GENERAL

2015 MAY 29 PM 2:10

Commissioners

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Lynn Slaby
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May 29, 2015

Randall J. Meyer
Ohio Inspector General
James A. Rhodes State Office Tower
30 East Broad Street – Suite 2940
Columbus, Ohio 43215-3414

Re: Report of Investigation 2014-CA00034

Dear Inspector General Meyer,

The Public Utilities Commission of Ohio (PUCO) has completed its review of your office's recommendations contained in the above-referenced report issued on March 31, 2015. I respectfully submit this response to you regarding the recommendations outlined in the report.

Recommendation 1: Review the actions of all employees involved to determine whether their conduct warrants administrative action or training.

Since the issuance of the above-referenced report, an administrative investigation has been conducted regarding current employees involved in the collection of fees and distribution of parking passes and employees involved in the reporting of suspected illegal or improper activity.

First it is important to note that John Georgiadis, the subject of the Inspector General investigation, retired in November 2014, and therefore, Mr. Georgiadis is no longer an employee of the PUCO. Therefore, further administrative action or training involving Mr. Georgiadis could not be implemented.

With regard to other PUCO employees, it was determined that no administrative action was warranted based on the PUCO's disciplinary policies, similar to your determination in the above-referenced report that no further referrals were warranted. However, the PUCO has determined that additional training is warranted as follows. First, personnel covering the reception areas should receive additional training regarding appropriate work activities. Second, the annual training, which includes training on policies such as the ethics, breaks, information technology, and reporting illegal or improper activities requirements, will be conducted in-person rather than video training this year.

Recommendation 2: Review with all employees the policy and procedures on reporting suspected illegal or improper activity by any state employee.

The PUCO will conduct an in-depth review of the policy and procedures on reporting suspected illegal and improper activity at the annual training. The annual training is provided to all PUCO employees.

Recommendation 3: Review with all employees the information technology use policy.

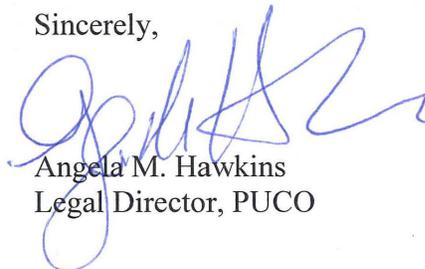
The PUCO will conduct an in-depth review of the information technology use policy at the annual training. The annual training is provided to all PUCO employees.

Recommendation 4: Create a secondary employment policy.

The PUCO is implementing a separate secondary employment policy, in addition to the PUCO's existing ethics policy that advises of applicable laws and directs employees engaging in outside business activity to seek opinions from the Ohio Ethics Commission. Once the secondary employment policy is finalized, PUCO staff will be advised of the policy and additional training on the policy will be included in the annual training.

The PUCO appreciates the opportunity to work with the Inspector General's office to implement the recommendations. If you have any questions regarding the response or need additional information, please contact me at 614-466-0122.

Sincerely,



Angela M. Hawkins
Legal Director, PUCO

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