



Notice of Award  
**BRS SHARED INSTRUMENTATION GRANT**  
Department of Health and Human Services  
National Institutes of Health  
NATIONAL CENTER FOR RESEARCH RESOURCES

**Issue Date:** 04/15/2010



THIS AWARD IS ISSUED UNDER THE AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 AND IS SUBJECT TO SPECIAL HHS TERMS AND CONDITIONS AS REFERENCED IN SECTION III

**Grant Number:** 1S10RR027671-01

**Principal Investigator(s):**  
PATRICK A LIMBACH, PHD

**Project Title:** Acquisition of an LC Mass Spectrometer for Nucleic Acids Research

Ucci, Mary  
Director, Sponsored Research Services  
51 Goodman Drive, Suite 530  
PO Box 210222  
Cincinnati, OH 452210222

**Award e-mailed to:** ospaward@uc.edu

**Budget Period:** 04/15/2010 – 04/14/2011

**Project Period:** 04/15/2010 – 04/14/2011

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$500,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to UNIVERSITY OF CINCINNATI in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release or other document that cites results from NIH grant-supported research must include an acknowledgment of NIH grant support and disclaimer such as "The project described was supported by Award Number S10RR027671 from the National Center For Research Resources. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Center For Research Resources or the National Institutes of Health."

Award recipients are required to comply with the NIH Public Access Policy. This includes submission to PubMed Central (PMC), upon acceptance for publication, an electronic version of a final peer-reviewed, manuscript resulting from research supported in whole or in part, with direct costs from National Institutes of Health. The author's final peer-reviewed manuscript is defined as the final version accepted for journal publication, and includes all modifications from the publishing peer review process. For additional information, please visit <http://publicaccess.nih.gov/>.

Award recipients must promote objectivity in research by establishing standards to ensure that the design, conduct and reporting of research funded under NIH-funded awards are not biased by a conflicting financial interest of an Investigator. Investigator is defined as the Principal Investigator and any other person who is responsible for the design, conduct, or reporting of NIH-funded research or proposed research, including the Investigator's spouse and dependent children. Awardees must have a written administrative process to identify and manage financial conflict of interest and must inform Investigators of the conflict of interest policy and of the Investigators' responsibilities. Prior to expenditure of these awarded funds, the Awardee must report to the NIH Awarding Component the existence of a conflicting interest and within 60 days of any new conflicting interests identified after the initial report. Awardees must comply with these and all other aspects of 42 CFR Part 50, Subpart F. These requirements also apply to subgrantees, contractors,

# Exhibit 6

Page 2 of 5

or collaborators engaged by the Awardee under this award. The NIH website <http://grants.nih.gov/grants/policy/coi/index.htm> provides additional information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Quadira R. Huff  
Grants Management Officer  
NATIONAL CENTER FOR RESEARCH RESOURCES

Additional information follows

**SECTION I – AWARD DATA – 1S10RR027671-01****Award Calculation (U.S. Dollars)**

Equipment	\$500,000
<b>Federal Direct Costs</b>	\$500,000
<b>Approved Budget</b>	\$500,000
<b>Federal Share</b>	\$500,000
<b>TOTAL FEDERAL AWARD AMOUNT</b>	\$500,000
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	\$500,000

**SUMMARY TOTALS FOR ALL YEARS**

YR	THIS AWARD	CUMULATIVE TOTALS
1	\$500,000	\$500,000

**Fiscal Information:**

CFDA Number: 93.701  
 EIN: [REDACTED]  
 Document Number: SRR027671Z  
 Fiscal Year: 2010

	IC	CAN	2010
RR		8485753	\$500,000

**NIH Administrative Data:**

PCC: BIG20 / OC: 415A / Processed: MAURERV 04/08/2010

**SECTION II – PAYMENT/HOTLINE INFORMATION – 1S10RR027671-01**

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

**SECTION III – TERMS AND CONDITIONS – 1S10RR027671-01**

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- The NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at 'http://grants.nih.gov/grants/policy/awardconditions.htm' for certain references cited above.)

**ARRA TERM OF AWARD:** This award is subject to the HHS-Approved Standard Terms and Conditions for the American Recovery and Reinvestment Act of 2009. Approved text for NIH awards can be found at [http://grants.nih.gov/grants/policy/NIH\\_HHS\\_ARRA\\_Award\\_Terms.pdf](http://grants.nih.gov/grants/policy/NIH_HHS_ARRA_Award_Terms.pdf). Recipients should pay particular attention to the special quarterly reporting requirements required by Section 1512 of the Recovery Act as specified in Term #2.

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase V Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

Carry over of an unobligated balance into the next budget period requires Grants Management Officer prior approval.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award represents the final year of the competitive segment for this grant. Therefore, see the NIH Grants Policy Statement (12/1/2003 version) for closeout requirements at: [http://grants.nih.gov/grants/policy/nihgps\\_2003/NIHGPS\\_Part8.htm#\\_Toc54600151](http://grants.nih.gov/grants/policy/nihgps_2003/NIHGPS_Part8.htm#_Toc54600151).

A final Financial Status Report (FSR) (SF 269) must be submitted through the eRA Commons (Commons) within 90 days of the expiration date; see NIH Guide Notice [NOT-OD-07-078](#) for additional information on this electronic submission requirement. The final FSR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FSR and the Payment Management System's (PMS) Federal Cash Transaction Report (SF-272).

Furthermore, unless an application for competitive renewal is submitted, additional grant closeout documents consisting of a Final Invention Statement and Certification form (HHS 568), (not applicable to training, construction, conference or cancer education grants) and a final progress report must also be submitted within 90 days of the expiration date.

NIH also strongly encourages electronic submission of the final progress report and the final invention statement through the Closeout feature in the Commons. If the final progress report and final invention statement are not submitted electronically, copies of the HHS 568 form may be downloaded at: <http://grants.nih.gov/grants/forms.htm>.

Submissions of the final progress report and HHS 568 may be e-mailed as PDF attachments to the NIH Central Closeout Center at: [deascentralized@od.nih.gov](mailto:deascentralized@od.nih.gov)

Paper submissions of the final progress report and the HHS 568 may be faxed to the NIH Central Closeout Center at 301-480-2304 or mailed to the NIH Central Closeout Center at the following address:

NIH/OD/OER/DEAS  
Central Closeout Center  
6705 Rockledge Drive, Room 2207  
Bethesda, MD 20892-7987 (for regular or U.S. Postal Service Express mail)  
Bethesda, MD 20817 (for other courier/express mail delivery only)

The final progress report should include, at a minimum, a summary of progress toward the achievement of the originally stated aims, a list of significant results (positive and/or negative), a list of publications and the grant number. If human subjects were included in the research, the final progress report should also address the following:

- Report on the inclusion of gender and minority study subjects (using the gender and minority Inclusion Enrollment Form as provided in the PHS 2590 and available at <http://grants.nih.gov/grants/forms.htm>).
- Where appropriate, indicate whether children were involved in the study or how the study was relevant for conditions affecting children (see "Public Policy Requirements and Objectives-Requirements for Inclusiveness in Research Design-Inclusion of Children as Subjects in Clinical Research" in the PHS 398 at URL [http://grants.nih.gov/grants/policy/nihgps\\_2003/NIHGPS\\_Part5.htm#\\_Toc54600090](http://grants.nih.gov/grants/policy/nihgps_2003/NIHGPS_Part5.htm#_Toc54600090)).
- Describe any data, research materials (such as cell lines, DNA probes, animal models), protocols, software, or other information resulting from the research that is available to be shared with other investigators and how it may be accessed.

Note, if this is the final year of a competitive segment due to the transfer of the grant to another institution, then not all the requirements stated above are applicable. Specifically a Final Progress Report is not required. However, a final FSR is required and should be submitted electronically as noted above. In addition, if not already submitted, the Final Invention Statement is required and should be sent directly to the assigned Grants Management Specialist.

**Treatment of Program Income:**  
Additional Costs

---

**SECTION IV – RR Special Terms and Conditions – 1S10RR027671-01**

This award is subject to the conditions set forth in PAR-09-028, Shared Instrumentation Grant Program (S10), Release/Posted Date: November 14, 2008, which is hereby incorporated by reference as special terms and conditions of this award.

Copies of this RFA/PA may be accessed at the following internet address:  
<http://www.nih.gov/grants/guide/index.html>

In order to cover a list price increase in the approved equipment, this award includes an administrative increase of \$3,094 over the peer-reviewed recommendation.

The award amount is based on the revised quote submitted on March 31, 2010.

A Final Progress report is required that describes the instrument purchased, and a list of all users and description of the value of the instrument to the investigators and to the institution as a whole. The Final Progress Report instructions are available at  
[http://www.ncrr.nih.gov/biomedical\\_technology/forms/index.asp](http://www.ncrr.nih.gov/biomedical_technology/forms/index.asp).

If the grantee plans to issue a press release concerning the outcome of NCRR grant-supported research, it should notify the NCRR Office of Communications at 301-435-0888 in advance to allow for coordination.

**SECTION V - CONTACTS:**

The NCRR WWW home page is at <http://www.ncrr.nih.gov/>

**STAFF CONTACTS**

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

**Grants Management Specialist:** Tiffany Walker  
**Email:** [walkerti@mail.nih.gov](mailto:walkerti@mail.nih.gov) **Phone:** (301) 435-0839 **Fax:** (301) 480-3777

**Program Official:** Marjorie Tingle  
**Email:** [tinglem@mail.nih.gov](mailto:tinglem@mail.nih.gov) **Phone:** (301) 435-0772 **Fax:** (301) 480-3659

**SPREADSHEET SUMMARY**

**GRANT NUMBER:** 1S10RR027671-01

**INSTITUTION:** UNIVERSITY OF CINCINNATI

<i>Budget</i>	<i>Year 1</i>
Equipment	\$500,000
TOTAL FEDERAL DC	\$500,000
TOTAL FEDERAL F&A	
TOTAL COST	\$500,000